

# Theoretical Study of H<sub>2</sub>O Adsorption on Zn<sub>2</sub>GeO<sub>4</sub> Surfaces: Effects of Surface State and Structure–Activity Relationships

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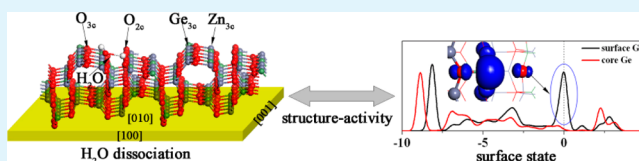
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## Supporting Information

**ABSTRACT:** We employed the density functional theory to investigate the interaction of H<sub>2</sub>O with Zn<sub>2</sub>GeO<sub>4</sub> surfaces, considering both perfect and defective surfaces. The results revealed that the interaction of H<sub>2</sub>O with Zn<sub>2</sub>GeO<sub>4</sub> surfaces was dependent on the structure of the latter. For perfect surfaces, H<sub>2</sub>O adsorbed at the Ge<sub>3c</sub>–O<sub>2c</sub> site of a (010) surface could spontaneously dissociate into an H atom and an OH group, whereas H<sub>2</sub>O tended to adsorb at the O<sub>2c</sub>–M<sub>3c</sub>–O<sub>3c</sub> site of a (001) surface by molecular adsorption. The presence of oxygen defects was found to strongly promote H<sub>2</sub>O dissociation on the (010) surface. Analysis of the surface electronic structure showed a large density of Ge states at the top of the valence band for both perfect and defective (010) surfaces, which is an important factor affecting H<sub>2</sub>O dissociation. In contrast, perfect and defective (001) surfaces with surface Ge states buried inside the valence band were significantly less reactive, and H<sub>2</sub>O was adsorbed on these surfaces in the molecular form. This information about the adsorbate geometries, catalytic activity of various surface sites, specific electronic structure of surface Ge atoms, and their relevance to surface structure will be useful for the future design of the Zn<sub>2</sub>GeO<sub>4</sub> photocatalyst, as well as for the atomistic-level understanding of other structure-sensitive reactions.

**KEYWORDS:** density functional theory, H<sub>2</sub>O adsorption, Zn<sub>2</sub>GeO<sub>4</sub> photocatalysis, surface state, structure–activity relationships



## 1. INTRODUCTION

Semiconductor photocatalytic reactions are typically surface-based processes, and the photocatalytic efficiency is dependent on morphology, microstructure, and surface properties of semiconductor materials participating in the reactions.<sup>1–6</sup> In recent years, notable progress has been made in the shape-controlled synthesis of photocatalytic materials and investigation of the relationship between their morphological or structural characteristics and photocatalytic properties.<sup>1,7–9</sup> However, only a few theoretical calculations describing how the surface structure of photocatalysts affect their properties have been reported. A theoretical understanding of the influence of photocatalysts' surface structure and identification of the active sites involved in the photocatalytic process are extremely important for the design and synthesis of novel photocatalysts.

Zinc orthogermanate (Zn<sub>2</sub>GeO<sub>4</sub>)—an important wide-bandgap semiconductor photocatalyst—has shown high activity for water splitting and degradation of organic pollutants.<sup>10,11</sup> Recently, it has been found that Zn<sub>2</sub>GeO<sub>4</sub> nanobelts when used as photocatalysts greatly improve the photocatalytic activity toward the reduction of CO<sub>2</sub> into CH<sub>4</sub> in the presence of water vapor.<sup>11</sup> This reaction is an eight-electron process and consists of a sequence of steps involving electron and proton transfers, C–O bond breaking, and C–H bond formation.<sup>12</sup> The mechanism of this reaction is more complicated than that of a simple reaction. Therefore, the theoretical study of the interactions of Zn<sub>2</sub>GeO<sub>4</sub> with CO<sub>2</sub> and H<sub>2</sub>O separately is

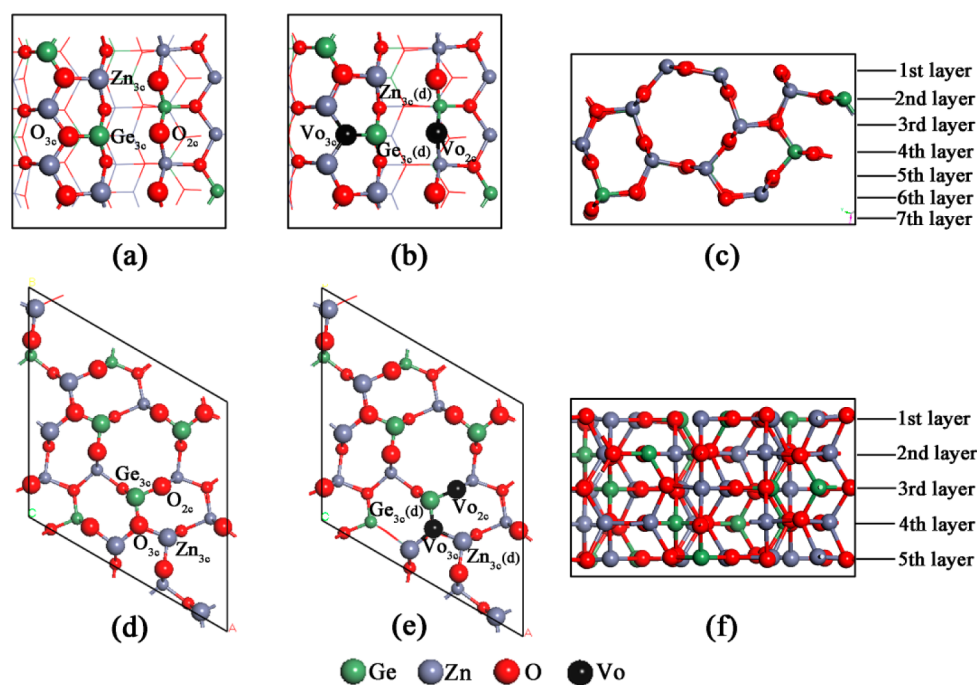
important. In our previous work, we studied CO<sub>2</sub> adsorption on perfect and defective Zn<sub>2</sub>GeO<sub>4</sub> surfaces and concluded that the interaction of CO<sub>2</sub> with Zn<sub>2</sub>GeO<sub>4</sub> surfaces is dependent on the surface structure.<sup>13</sup> In addition, we found that the major exposed perfect (010) surfaces of Zn<sub>2</sub>GeO<sub>4</sub> nanobelts did not display the highest activity for CO<sub>2</sub> activation, which appears to be inconsistent with experimental reports to a certain extent.<sup>13</sup> Because the rate of a photocatalytic reaction can be controlled by several steps, the interactions between H<sub>2</sub>O and a photocatalyst surface also play a key role during the photocatalytic process. However, the structure–activity relationships associated with the interactions between H<sub>2</sub>O and Zn<sub>2</sub>GeO<sub>4</sub> surfaces are not fully understood. Therefore, a better understanding of these relationships and active sites on Zn<sub>2</sub>GeO<sub>4</sub> surfaces is of fundamental interest and theoretical importance.

It is generally believed that in addition to the effect of morphology and surface microstructure, the chemical properties of perfect surfaces differ markedly from those of surfaces containing oxygen defects.<sup>14</sup> Therefore, oxygen defects are considered to be important reactive agents for many adsorbates, and hence, many surface reactions are influenced by oxygen defects.<sup>12,15–18</sup> In addition to acting as direct active sites, oxygen defects also act as electron donor sites, thus altering the

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**Figure 1.** (a) Top view of perfect (010) surface; (b) top view of defective (010) surface; (c) side view of (010) surface; (d) top view of perfect (001) surface; (e) top view of defective (001) surface; and (f) side view of (001) surface.

surface electronic structure.<sup>14,19,20</sup> It is therefore very important to understand the effect of oxygen defects on the interaction of H<sub>2</sub>O with photocatalyst surfaces.

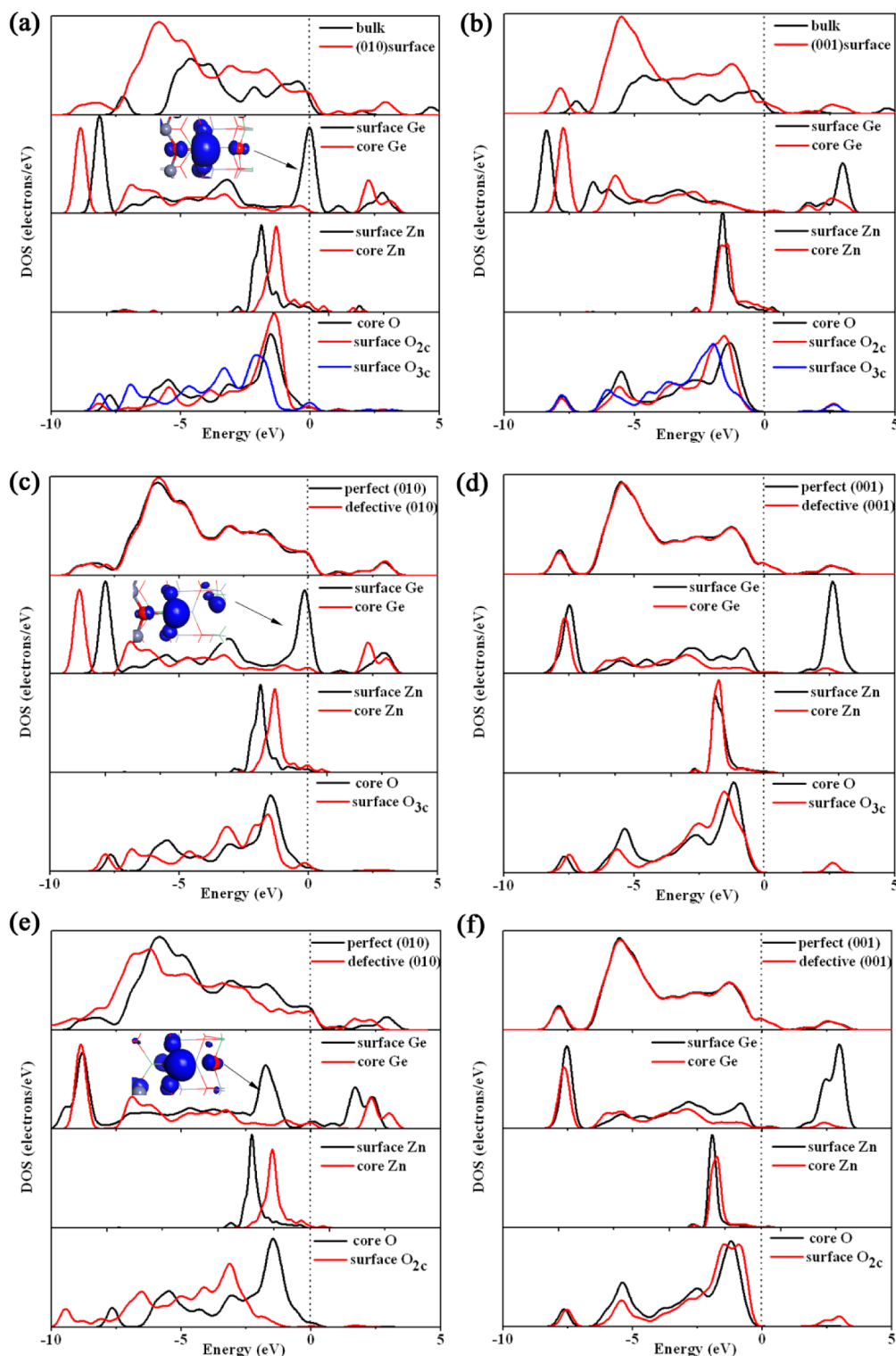
In the present work, we employed density functional theory (DFT) to investigate the adsorption of H<sub>2</sub>O on Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces, focusing on the influence of surface structure and oxygen defects on adsorption performance. The energies and geometries of adsorption modes were determined, and detailed analyses of the electronic structure with the local density of states (LDOS) and charge density were carried out. It was found that the interaction of H<sub>2</sub>O with perfect Zn<sub>2</sub>GeO<sub>4</sub> surfaces was dependent on their structure. On a perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) surface, dissociative adsorption was favored. In contrast, on a perfect Zn<sub>2</sub>GeO<sub>4</sub> (001) surface, molecular adsorption was more stable. After the introduction of an oxygen defect, dissociative adsorption was the most favorable adsorbate configuration on a defective (010) surface. Moreover, in the case of H<sub>2</sub>O adsorption on a defective (001) surface, only molecular adsorption was observed. The result about the adsorbate geometries, catalytic activity of various surface sites, specific electronic structure of surface Ge<sub>3c</sub> atoms, and their relevance to surface structure will be useful for the future design of the Zn<sub>2</sub>GeO<sub>4</sub> photocatalyst, as well as for the atomistic-level understanding of other structure-sensitive reactions. This paper is organized as follows. The computational methods and surface models are described in detail in Section 2, whereas the calculated results are reported and discussed in Section 3. Finally, the most important conclusions are summarized in Section 4.

## 2. COMPUTATIONAL METHODS AND SURFACE MODELS

DFT<sup>21</sup> with a GGA-PW91<sup>22,23</sup> functional, as implemented in the CASTEP program,<sup>24</sup> was used for all the calculations. The Vanderbilt ultrasoft pseudopotential<sup>25</sup> was used for the treatment of core electrons, and H 1s<sup>1</sup>, O 2s<sup>2</sup> 2p<sup>4</sup>, Zn 3d<sup>10</sup> 4s<sup>2</sup>, and Ge 4s<sup>2</sup> 4p<sup>2</sup> electrons

were treated as valence electrons. A plane-wave basis set was used with the cutoff energy set at 340 eV, and this cutoff energy was used throughout our calculations. Monkhorst-Pack<sup>26</sup> grids of 3 × 3 × 3 k-points were used for the bulk unit cell, and grids of 2 × 2 × 1 k-points were used for (010) and (001) surfaces. Our test calculations with Monkhorst-Pack grids of 3 × 2 × 1 and 3 × 3 × 1 k-points gave almost the same geometries and adsorption energies as those obtained using the Monkhorst-Pack grids of 2 × 2 × 1 and 2 × 2 × 1 k-points for (010) and (001) surfaces, respectively. A Fermi smearing of 0.1 eV was used in the calculations.

Previous experimental work<sup>11</sup> has shown that Zn<sub>2</sub>GeO<sub>4</sub> nanobelts are uniform single crystals with the longitudinal direction along [001] and the width direction along [100]. The two major exposed surfaces of the nanobelts are {010} facets. In principle, the crystallographic (100) and (010) surfaces of rhombohedral Zn<sub>2</sub>GeO<sub>4</sub> are equivalent. Therefore, two surfaces of Zn<sub>2</sub>GeO<sub>4</sub>—(010) and (001) surfaces—were considered in this work. These surfaces were created on the basis of an optimized bulk unit cell with cell parameters of 14.486 Å × 14.486 Å × 9.656 Å and were modeled using a (1 × 1) supercell with dimensions of 9.656 Å × 14.486 Å × 8.209 Å (seven atomic layers) and 14.486 Å × 14.486 Å × 6.696 Å (five atomic layers). The (001) surface of Zn<sub>2</sub>GeO<sub>4</sub> has only one termination mode, exposing 2-fold coordinated O, 3-fold coordinated O, 3-fold coordinated Ge, and 3-fold coordinated Zn. In contrast, the (010) surface of Zn<sub>2</sub>GeO<sub>4</sub> has several different terminations, exposing 1-fold coordinated O, 2-fold coordinated O, 3-fold coordinated O, 2-fold coordinated Ge, 3-fold coordinated Ge, 2-fold coordinated Zn, and 3-fold coordinated Zn atoms. In the present work, the (010) surface was terminated by exposing 2-fold coordinated O, 3-fold coordinated O, 3-fold coordinated Ge, and 3-fold coordinated Zn, which is the most favorable cleavage because it breaks only 9 bonds versus 15 or 18 for other cleavages. The vacuum region separating the slabs along the [001] direction was set to 12 Å. The geometry of an isolated H<sub>2</sub>O molecule was optimized using a large cell with dimensions of 10 Å × 10 Å × 10 Å. The calculated values of the O–H bond length and H–O–H angle were 0.977 Å and 104.3°, respectively, which were consistent with previously calculated values of 0.979 Å and 104.5°,<sup>27</sup> respectively. In all calculations, the atoms in the bottom layers were fixed, whereas the atoms in the three topmost layers as well as H and O atoms in H<sub>2</sub>O were allowed to relax. After optimization, LDOS and



**Figure 2.** LDOS for (a) perfect  $\text{Zn}_2\text{GeO}_4$  (010) surface, (b) perfect  $\text{Zn}_2\text{GeO}_4$  (001) surface, (c) defective  $\text{Zn}_2\text{GeO}_4$  (010) surface with  $\text{V}_{\text{O}2c}$ , (d) defective  $\text{Zn}_2\text{GeO}_4$  (001) surface with  $\text{V}_{\text{O}2c}$ , (e) defective  $\text{Zn}_2\text{GeO}_4$  (010) surface with  $\text{V}_{\text{O}3c}$ , and (f) defective  $\text{Zn}_2\text{GeO}_4$  (001) surface with  $\text{V}_{\text{O}3c}$ . In panels a, c, and e, 3D distributions of various electronic states for surface states are included as insets. Fermi level is set to zero and marked by a vertical dotted line.

charge density were analyzed. These analyses were used to understand the nature of the bonding and interaction between  $\text{H}_2\text{O}$  and  $\text{Zn}_2\text{GeO}_4$  surfaces.

Adsorption energy is defined as

$$E_{\text{ads}} = E(\text{H}_2\text{O}/\text{slab}) - [E(\text{H}_2\text{O}) + E(\text{slab})]$$

where the first term denotes the total energy of a slab with  $\text{H}_2\text{O}$  adsorbed on the surface; the second term denotes the total energy of free  $\text{H}_2\text{O}$ ; and the third term denotes the total energy of a bare slab surface. According to the above definition, a negative  $E_{\text{ads}}$  value corresponds to an exothermic adsorption, and a more negative  $E_{\text{ads}}$  value implies stronger adsorption.

### 3. RESULTS AND DISCUSSION

**3.1. Geometric and Electronic Structure of Perfect and Defective  $\text{Zn}_2\text{GeO}_4$  Surfaces.** Perfect and defective  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces have been analyzed in detail in our previous work.<sup>13</sup> Here, a concise introduction to  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces is provided for easy reference.

In order to establish slab models for  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces, surface energies were first evaluated using the equation  $S = (E_{\text{slab}}^N - NE_{\text{bulk}})/2A$ , where  $A$  is the area of the surface,  $E_{\text{slab}}^N$  is the total energy of surface slabs,  $N$  is the number of  $\text{Zn}_2\text{GeO}_4$  units in the cell, and  $E_{\text{bulk}}$  is the energy per stoichiometric unit of the bulk. For a slab whose top and bottom surfaces are not equivalent, the surface energy of the slab is given by  $S = (E_{\text{slab}}^N - NE_{\text{bulk}})/A - S_{\text{bottom}}$ .  $S_{\text{bottom}}$  was calculated using a slab with identical termination on both sides without any surface relaxation. The calculated surface energies of the (010) surface with a thickness of seven, eight, and nine atomic layers are 2.13, 2.14, and 2.11  $\text{J}/\text{m}^2$ , respectively. Further, the calculated surface energies of the (001) surface with a thickness of five, seven, and nine atomic layers thickness are 2.66, 2.70, and 2.74  $\text{J}/\text{m}^2$ , respectively. These results show that the surface energies converged to within 0.01–0.03  $\text{J}/\text{m}^2$  for the (010) surface and 0.04–0.08  $\text{J}/\text{m}^2$  for the (001) surface, indicating that thicknesses of seven and five layers for the (010) and (001) surfaces, respectively, are enough for the calculated models. Therefore, to keep the computational cost low, we chose only these two slabs to study  $\text{H}_2\text{O}$  adsorption in the present work.

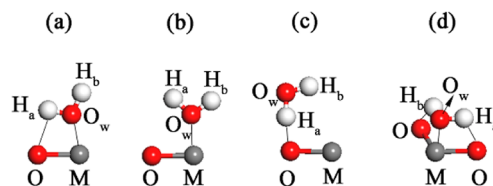
Figure 1a, d show the top view of perfect  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces, respectively. Perfect  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces contained 3-fold coordinated Ge ( $\text{Ge}_{3c}$ ), 3-fold coordinated Zn ( $\text{Zn}_{3c}$ ), 3-fold coordinated O ( $\text{O}_{3c}$ ), and 2-fold coordinated O ( $\text{O}_{2c}$ ) sites. The  $\text{Ge}_{3c}$ ,  $\text{Zn}_{3c}$ , and  $\text{O}_{2c}$  sites resulted from the 4-fold coordinated Ge ( $\text{Ge}_{4c}$ ), 4-fold coordinated Zn ( $\text{Zn}_{4c}$ ), and 3-fold coordinated O ( $\text{O}_{3c}$ ) atoms of bulk  $\text{Zn}_2\text{GeO}_4$ , respectively, and were therefore coordinately unsaturated. The  $\text{O}_{3c}$  site was saturated as it resulted from the  $\text{O}_{3c}$  atom of bulk  $\text{Zn}_2\text{GeO}_4$ . Defective  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces with an oxygen vacancy ( $\text{V}_\text{O}$ ) were created by removing a surface  $\text{O}_{2c}$  or  $\text{O}_{3c}$  atom from perfect  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces. The original  $\text{Ge}_{3c}$  and  $\text{Zn}_{3c}$  atoms bound to a top O ( $\text{O}_{2c}$  or  $\text{O}_{3c}$ ) atom at a vacancy site became 2-fold coordinated and are denoted as  $\text{Ge}_{3c}(\text{d})$  and  $\text{Zn}_{3c}(\text{d})$ , respectively, as shown in panels b and e in Figure 1. In this figure, the location of  $\text{V}_\text{O}$  is indicated using a black sphere. The  $\text{V}_\text{O}$  formation energy is defined with respect to the energy of an oxygen molecule in the triplet state and is calculated according as  $E_{\text{V}_\text{O}} = -(E_{\text{perfect}} - E_{\text{defective}} - (1/2)E_{\text{O}_2})$ . The calculated formation energies for  $\text{V}_{\text{O}2c}$  and  $\text{V}_{\text{O}3c}$  marked on the (010) surface are 3.68 and 3.21 eV, respectively; further, the calculated formation energies for  $\text{V}_{\text{O}2c}$  and  $\text{V}_{\text{O}3c}$  marked on the (001) surface are 2.72 and 3.03 eV, respectively.<sup>13</sup> In addition, Mulliken charge analysis showed that  $\text{V}_\text{O}$  formation was accompanied by the localization of two electrons on nearby Ge and Zn atoms, and the Mulliken charges of perfect and defective  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces are shown in Figure S1 in the Supporting Information.

The calculated LDOS values for perfect and defective  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces are shown in Figure 2. For comparison, the LDOS values for bulk  $\text{Zn}_2\text{GeO}_4$  are also reported. The Fermi level is set to zero and marked by a vertical

dotted line. In Figure 2a, a comparison between the LDOS values for surface and core Ge showed the existence of a sharp, strong, and nondispersed band located at the Fermi level, which consists of Ge 4s and 4p states, as shown in the stereopicture in Figure 2a. Moreover, the LDOS values for surface O atoms, which are in the vicinity of surface  $\text{Ge}_{3c}$  atoms, are also plotted in Figure 2a. The LDOS of surface  $\text{O}_{2c}$  2p states show a slight increase, whereas those of surface  $\text{O}_{3c}$  2p states show a slight decrease. The presence of O 2p and Ge 4s and 4p states at the Fermi level strongly suggest that these are surface states. Generally, the formation of surface states is due to the presence of dangling bonds on the semiconductor surface; therefore, the quantum states of surface electrons are discrete levels or narrow bands.<sup>28,29</sup> Hence, the presence of coordinately unsaturated surface  $\text{Ge}_{3c}$  atoms on the (010) surface is the main reason for the existence of surface states, and surface  $\text{O}_{2c}$  and  $\text{O}_{3c}$  atoms in the vicinity of surface  $\text{Ge}_{3c}$  atoms participate to construct the surface states, as shown in the stereopicture in Figure 2a. For the perfect (001) surface, no surface states exist in the bandgap, and the band of surface Ge atoms appears as a broad band within the valence band of core Ge atoms, as shown in Figure 2b. Mulliken charge analysis showed that the charges of surface Ge atoms are 1.09  $l_e$  and 1.49  $l_e$  for (010) and (001) surfaces, respectively. By analyzing the geometric structure of (010) and (001) surfaces, it was found that the difference in the geometric structure around surface Ge atoms led to a difference in the electronic structure.

After introduction of an oxygen defect, the defective (010) surface with  $\text{V}_{\text{O}2c}$  (Figure 2c) is similar to the perfect (010) surface and has a surface state at the Fermi level. For the defective (010) surface with  $\text{V}_{\text{O}3c}$  (Figure 2e), the surface state can be clearly seen at the top of the valence band (about 2 eV below  $E_F$ ); however, for defective (001) surfaces (with  $\text{V}_{\text{O}2c}$  or  $\text{V}_{\text{O}3c}$ ), no surface state exists, as shown in panels d and f in Figure 2. These observations indicate that the presence of  $\text{V}_{\text{O}2c}$  on the (010) surface and  $\text{V}_{\text{O}2c}$  or  $\text{V}_{\text{O}3c}$  on the (001) surface does not significantly modify the electronic structure of  $\text{Zn}_2\text{GeO}_4$  surfaces, whereas the energy band of surface Ge atoms shows a significant downward shift because of the presence of  $\text{V}_{\text{O}3c}$  on the (010) surface.

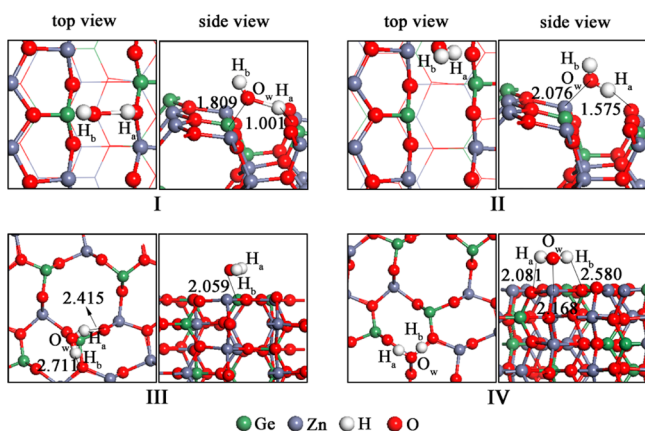
**3.2.  $\text{H}_2\text{O}$  Adsorption on Perfect  $\text{Zn}_2\text{GeO}_4$  Surfaces.**  $\text{H}_2\text{O}$  molecule can interact with the  $\text{Zn}_2\text{GeO}_4$  surfaces via several different ways by utilizing H atoms and O lone-pair electrons (Figure 3). For clarity, the oxygen and hydrogen



**Figure 3.** Possible configurations for  $\text{H}_2\text{O}$  molecule adsorbed on  $\text{Zn}_2\text{GeO}_4$  surface ( $M = \text{Zn}$  or  $\text{Ge}$ ).

atoms of  $\text{H}_2\text{O}$  are denoted as  $\text{O}_w$  and  $\text{H}_a$  and  $\text{H}_b$ , respectively. We optimized different  $\text{H}_2\text{O}$  adsorption models and obtained the adsorption energy for each structure. Here, we discuss only the most energetically favorable structures.

We start the discussion with  $\text{H}_2\text{O}$  molecule on the perfect  $\text{Zn}_2\text{GeO}_4$  (010) surface. The adsorbate geometries and key structural parameters are shown in Figure 4. These include dissociative adsorption at the  $\text{Ge}_{3c}\cdots\text{O}_{2c}$  site and molecular



**Figure 4.** Optimized stable configurations for H<sub>2</sub>O adsorption on perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces. Distances are given in Å.

adsorption at the Zn<sub>3c</sub>...O<sub>2c</sub> site, as shown in Figure 4. We denote these configurations as I and II, respectively. Further, the adsorption energies for dissociative and molecular adsorption were calculated to be  $-2.30$  and  $-1.21$  eV (Table 1), respectively. Clearly, the dissociative adsorption of H<sub>2</sub>O is

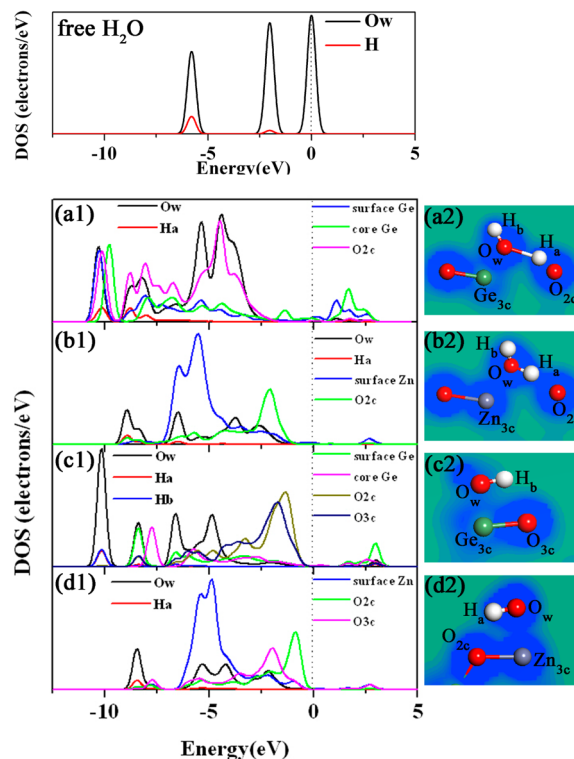
**Table 1.** Structural Parameters and Adsorption Energies for Isolated H<sub>2</sub>O Molecule and Different H<sub>2</sub>O Adsorption Configurations on Perfect and Defective Zn<sub>2</sub>GeO<sub>4</sub> Surfaces

configurations	O <sub>w</sub> -H <sub>a</sub> bond (Å)	O <sub>w</sub> -H <sub>b</sub> bond (Å)	H <sub>a</sub> -O <sub>w</sub> -H <sub>b</sub> angle (deg)	E <sub>ads</sub> (eV)
I	1.766	0.977	134.9	-2.30
II	1.030	0.975	110.0	-1.21
III	1.073	0.985	108.7	-0.89
IV	1.009	0.981	115.9	-0.65
D-I	2.987	0.980	66.7	-2.40
D-II	1.913	0.979	132.7	-2.35
D-III	2.951	0.977	66.0	-1.68
D-IV	1.744	0.977	128.4	-1.38
D-V	1.058	0.975	109.9	-1.63
D-VI	0.986	0.978	109.2	-0.66
H <sub>2</sub> O molecule	0.977	0.977	104.3	--

energetically more favorable than the molecular one. For dissociative adsorption, one of the H<sub>2</sub>O decomposition products—O<sub>w</sub>H<sub>b</sub> group—is adsorbed onto the Ge<sub>3c</sub> atom with its O<sub>w</sub> atom bonded to the Ge<sub>3c</sub> atom, thus forming a surface-adsorbed hydroxyl radical.<sup>27</sup> The other H<sub>2</sub>O decomposition product—H<sub>a</sub> atom—is adsorbed onto the O<sub>2c</sub> atom, which interacts with the Ge<sub>3c</sub> atom. Thus, it becomes a stable surface-terminated hydroxyl radical.<sup>27</sup> The distances of the newly formed O<sub>w</sub>-Ge<sub>3c</sub> and H<sub>a</sub>-O<sub>2c</sub> bonds are 1.809 and 1.001 Å, respectively. The molecular plane of dissociated H<sub>2</sub>O is approximately perpendicular to the surface. In addition, H<sub>2</sub>O binding in the molecular form is unstable at the Ge<sub>3c</sub>...O<sub>2c</sub> site with zero barriers for dissociation (see the potential energy profile in the Supporting Information Figure S2). In the case of molecular adsorption, the O<sub>w</sub> atom interacts with the Zn<sub>3c</sub> atom, with the H<sub>a</sub> atom pointing toward the neighboring O<sub>2c</sub> atom. The distances of the newly formed O<sub>w</sub>-Zn<sub>3c</sub> and H<sub>a</sub>-O<sub>2c</sub> bonds are 2.076 and 1.575 Å, respectively. The molecular plane of H<sub>2</sub>O is approximately perpendicular to the surface when H<sub>2</sub>O is adsorbed at this site. The detailed structural parameters are listed in Table 1.

Next, H<sub>2</sub>O adsorption on the perfect Zn<sub>2</sub>GeO<sub>4</sub> (001) surface was studied. Two stable molecular adsorption configurations—denoted as III and IV—were obtained (see Figure 4) for this adsorption. The optimized structures and key structural parameters are given in Figure 4, and the adsorption energies (E<sub>ads</sub>) as well as other structural parameters of these configurations are listed in Table 1. The stable sites for molecular adsorption are O<sub>2c</sub>-Ge<sub>3c</sub>-O<sub>3c</sub> and O<sub>2c</sub>-Zn<sub>3c</sub>-O<sub>3c</sub> sites. The molecular plane of H<sub>2</sub>O is approximately parallel to the Zn<sub>2</sub>GeO<sub>4</sub> (001) surface when H<sub>2</sub>O is adsorbed at these sites. For these molecular adsorption configurations, the O<sub>w</sub> atom of H<sub>2</sub>O interacts with the Ge<sub>3c</sub> or Zn<sub>3c</sub> atoms, with the two H atoms pointing toward neighboring O<sub>2c</sub> and O<sub>3c</sub> atoms. The distances of the newly formed O<sub>w</sub>-Ge<sub>3c</sub> and O<sub>w</sub>-Zn<sub>3c</sub> bonds in III and IV are 2.059 and 2.168 Å, respectively. The calculated H<sub>2</sub>O adsorption energies for these sites are  $-0.89$  and  $-0.65$  eV, respectively.

Generally, the adsorbate-surface interaction is mainly local in character.<sup>30</sup> Therefore, the analysis of LDOS and electron charge density for H<sub>2</sub>O-Zn<sub>2</sub>GeO<sub>4</sub> should provide at least a qualitative insight into the bonding interaction of H<sub>2</sub>O with Zn<sub>2</sub>GeO<sub>4</sub> surfaces. Figures 5a-d shows the LDOS and charge



**Figure 5.** LDOS and charge density plots for H<sub>2</sub>O adsorption on perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces: (a) configuration I, (b) configuration II, (c) configuration III, and (d) configuration IV. For charge density plots, a plane parallel to B and C axes of slab was used for cross-section. Fermi level is set to zero and marked by a vertical dotted line.

density plots for configurations I, II, III, and IV, respectively. For dissociative adsorption configuration I, the O<sub>w</sub> atom strongly interacts with the unsaturated surface Ge<sub>3c</sub> atom, the H<sub>a</sub> atom interacts with the surface O<sub>2c</sub> atom, and the hybridization effect is clearly observed in the energy range from  $-11$  to  $-2.5$  eV. The corresponding states completely overlap, and their positions and shapes change. The changes in

the electronic structure indicate that the interaction of H<sub>2</sub>O with the (010) surface is strong in the dissociative adsorption state. This interaction is also evidenced by the charge density plots shown in Figure S2. For molecular adsorption, the overlap of corresponding states is partial. In configuration II, the interaction of H<sub>2</sub>O with the (010) surface is mainly dependent on the hybridization of its lone pairs of electrons with surface Zn<sub>3c</sub> 3d states, and that of H<sub>a</sub> 1s states with surface O<sub>2c</sub> 2p states, as shown in Figure 5b. In configuration III, H<sub>2</sub>O mainly interacts with the (001) surface via the hybridization of its orbitals with surface Ge<sub>3c</sub> and O 2p orbitals, as shown in Figure 5c. In configuration IV, the interaction of H<sub>2</sub>O with the (001) surface is mainly dependent on the hybridization of H<sub>2</sub>O orbitals with surface Zn<sub>3c</sub> 3d and O 2p orbitals. As shown in Figure 5d2, Zn<sub>3c</sub> forms small hybridization with the O<sub>w</sub> atom.

The above results show that the interaction of H<sub>2</sub>O with Zn<sub>2</sub>GeO<sub>4</sub> surfaces is strongly dependent on the surface structure. From the interaction energies presented in Table 1, it is clear that the adsorption of H<sub>2</sub>O on the (010) surface is more stable than that on the (001) surface. Moreover, the interaction between H<sub>2</sub>O and the (010) surface results in a dissociative adsorption mode. This requires the presence of a Ge<sub>3c</sub>···O<sub>2c</sub> site to interact with the H and O atoms of H<sub>2</sub>O.

### 3.3. H<sub>2</sub>O Adsorption on Defective Zn<sub>2</sub>GeO<sub>4</sub> Surfaces.

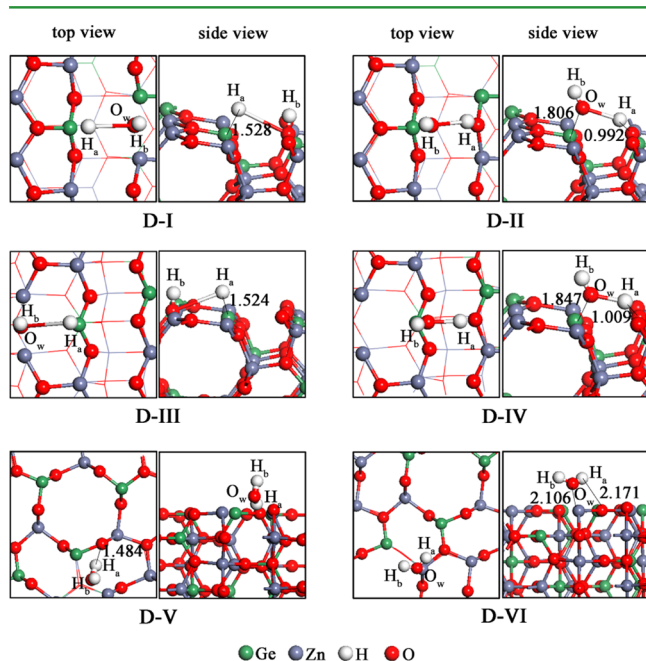
In metal oxide surfaces, oxygen defects play an important role and can significantly influence the interaction of H<sub>2</sub>O with the surfaces. In this study, we investigated the adsorption of H<sub>2</sub>O on defective Zn<sub>2</sub>GeO<sub>4</sub> surfaces at or near V<sub>O</sub>. We first considered H<sub>2</sub>O adsorption on the defective Zn<sub>2</sub>GeO<sub>4</sub> (010) surface with V<sub>O2c</sub>. As in the case of the perfect surface, we explored different H<sub>2</sub>O adsorption structures and the favorable adsorbate configurations are shown in Figure 6. We denote these configurations as D-I and D-II. Both the favorable adsorbate configurations on the defective Zn<sub>2</sub>GeO<sub>4</sub> (010) surface with V<sub>O2c</sub> correspond to dissociative adsorption. The adsorption energy values and structural parameters are listed in Table 1. In configuration D-I, H<sub>2</sub>O is adsorbed at the V<sub>O2c</sub> site

and dissociates into an O<sub>w</sub>H<sub>b</sub> group that fills the oxygen vacancy, and a H<sub>a</sub> atom that bonds to surface Ge<sub>3c</sub> atom with an adsorption energy of −2.40 eV. The distance of the newly formed H<sub>a</sub>–Ge<sub>3c</sub> bond is 1.528 Å. In addition, H<sub>2</sub>O binding in the molecular form is unstable at the V<sub>O2c</sub> site with zero barriers for dissociation (see the potential energy profile in the Supporting Information, Figure S3). In configuration D-II, the adsorption of H<sub>2</sub>O occurs near the V<sub>O2c</sub> site with an adsorption energy of −2.35 eV. The distances of the newly formed O<sub>w</sub>–Ge<sub>3c</sub> and H<sub>a</sub>–O<sub>2c</sub> bonds are 1.806 Å and 0.992 Å, respectively. Therefore, when V<sub>O2c</sub> is created on the (010) surface, the adsorption energies are generally greater than those in the case of the perfect surface, indicating an effective attraction between H<sub>2</sub>O and V<sub>O</sub>.

We then investigated H<sub>2</sub>O adsorption on the defective Zn<sub>2</sub>GeO<sub>4</sub> (010) surface with V<sub>O3c</sub>. Different adsorption configurations in the presence of V<sub>O3c</sub> were examined, and the favorable adsorbate configurations—denoted as D-III and D-IV—are shown in Figure 6. As shown in this figure, both favorable configurations for H<sub>2</sub>O adsorption on the defective Zn<sub>2</sub>GeO<sub>4</sub> (010) surface with V<sub>O3c</sub> correspond to dissociative adsorption. In configuration D-III, H<sub>2</sub>O is adsorbed at the surface V<sub>O3c</sub> site and dissociates into an O<sub>w</sub>H<sub>b</sub> group that fills the oxygen vacancy and a H<sub>a</sub> atom that bonds to surface Ge<sub>3c</sub> atom with an adsorption energy of −1.68 eV. In configuration D-IV, H<sub>2</sub>O adsorption occurs near the V<sub>O3c</sub> site with an adsorption energy of −1.38 eV. The above results show that the presence of a surface vacancy on the (010) surface has a dual effect on the adsorption properties of H<sub>2</sub>O. First, it modifies the adsorption energy for those configurations in which H<sub>2</sub>O adsorption on the perfect (010) surface occurs at sites near the vacancy. Second, it leads to the creation of new dissociative adsorption configurations at the V<sub>O</sub> site that are not obtained on the perfect (010) surface. These results are consistent with previous reports suggesting the existence of attraction between H<sub>2</sub>O and V<sub>O</sub>. Fronzi et al.<sup>17</sup> analyzed H<sub>2</sub>O adsorption on stoichiometric and reduced CeO<sub>2</sub> (111) surfaces and found that an effective attractive interaction exists between H<sub>2</sub>O and V<sub>O</sub>. Mulakaluri et al.<sup>31</sup> investigated the adsorption of H<sub>2</sub>O on a Fe<sub>3</sub>O<sub>4</sub> (001) surface and found that oxygen defects strongly promote H<sub>2</sub>O dissociation.

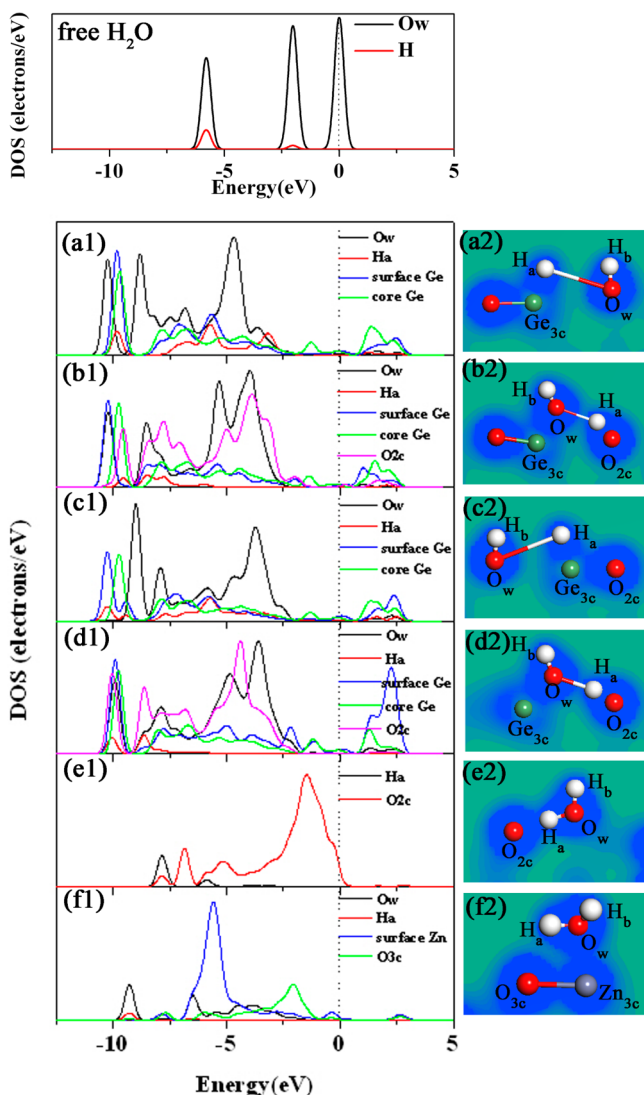
Next, we considered the adsorption of H<sub>2</sub>O on the defective Zn<sub>2</sub>GeO<sub>4</sub> (001) surface with V<sub>O</sub>. Different H<sub>2</sub>O adsorption structures on the defective Zn<sub>2</sub>GeO<sub>4</sub> (001) surface were examined, and the two most stable configurations were identified (Figure 6). These configurations are denoted as D-V and D-VI. For D-V and D-VI, the calculated adsorption energies for H<sub>2</sub>O adsorption in the vicinity of V<sub>O</sub> sites are −1.63 and −0.66 eV, respectively. In configuration D-V, H<sub>2</sub>O is adsorbed near the V<sub>O3c</sub> site and interacts with the defective (001) surface through the formation of a H<sub>a</sub>–O<sub>2c</sub> hydrogen bond. The molecular plane of H<sub>2</sub>O is approximately vertical to the surface. In configuration D-VI, H<sub>2</sub>O is adsorbed near the V<sub>O2c</sub> site and interacts with the defective (001) surface through the formation of an O<sub>w</sub>–Zn<sub>3c</sub>(d) bond and a H<sub>a</sub>–O<sub>2c</sub> hydrogen bond. In this case, however, the molecular plane of H<sub>2</sub>O is approximately parallel to the surface. This indicates that the presence of surface V<sub>O</sub> can modify the energy for the adsorption of H<sub>2</sub>O on the perfect (001) surface but cannot change its adsorbed form.

To further investigate the interaction between adsorbed H<sub>2</sub>O and the defective Zn<sub>2</sub>GeO<sub>4</sub> surface, the LDOS and charge density for the different configurations were studied. In Figure



**Figure 6.** Optimized stable configurations for H<sub>2</sub>O adsorption on defective Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces. Distances are given in Å.

7a–f, the LDOS and charge density plots for configurations D-I, D-II, D-III, D-IV, D-V, and D-VI, respectively, are shown. For



**Figure 7.** LDOS and charge density plots for H<sub>2</sub>O adsorption on defective Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces: (a) configuration D-I, (b) configuration D-II, (c) configuration D-III, (d) configuration D-IV, (e) configuration D-V, and (f) configuration D-VI. For charge density plots, a plane parallel to the B and C axes of slab was used for cross-section. Fermi level is set to zero and marked by a vertical dotted line.

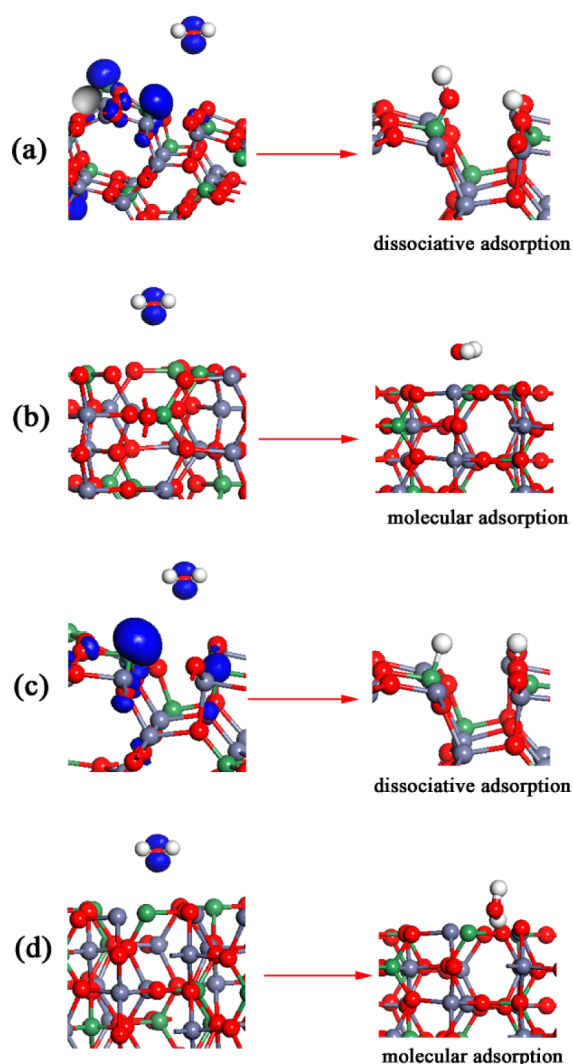
configurations D-I and D-III, in which H<sub>2</sub>O is adsorbed directly at the V<sub>O</sub> site, the O<sub>w</sub>H<sub>b</sub> group fills V<sub>O</sub> and the H<sub>a</sub> atom is bonded to the surface Ge<sub>3c</sub> atom. Thus, there is a clear hybridization between the surface Ge<sub>3c</sub> orbital and H<sub>2</sub>O orbitals, as shown in panels a and c in Figure 7. For configurations D-II and D-IV, in which H<sub>2</sub>O is adsorbed near the V<sub>O</sub> site, the O<sub>w</sub>H<sub>b</sub> group is bonded to the surface Ge<sub>3c</sub> atom and the H<sub>a</sub> atom is bonded to the surface O<sub>2c</sub> atom. For these configurations, hybridization is more obvious in the energy range from –11 to –2.5 eV because of the stronger interaction of the O<sub>w</sub> and H<sub>a</sub> atoms with the surface Ge<sub>3c</sub> and O<sub>2c</sub> atoms, as shown in panels b and d in Figure 7, whereas for the configurations D-V and D-VI, the hybridization between the

orbitals of the H<sub>a</sub> or O<sub>w</sub> and surface O or Zn<sub>3c</sub> atoms is relatively weak, as shown in panels e and f Figure 7.

The above results show that the interaction of H<sub>2</sub>O with defective Zn<sub>2</sub>GeO<sub>4</sub> surfaces is dependent on the surface structure: the adsorption ability of H<sub>2</sub>O for the defective (010) surface is generally higher than that for the defective (001) surface. Moreover, all favorable configurations for H<sub>2</sub>O adsorption on the defective (010) surface corresponded to dissociative adsorption, whereas all favorable configurations for H<sub>2</sub>O adsorption on the defective (001) surface corresponded to molecular adsorption. Furthermore, the presence of surface vacancies can modify the energies for the adsorption of H<sub>2</sub>O on perfect surfaces.

**3.4. General Discussion.** We carried out a detailed analysis of the interaction of H<sub>2</sub>O with the perfect and defective Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces by using DFT calculations. Our calculations showed that the interaction of H<sub>2</sub>O with Zn<sub>2</sub>GeO<sub>4</sub> surfaces is strongly dependent on the surface structure. For perfect and defective (010) surfaces, we found the interaction between H<sub>2</sub>O and surfaces to be strong, and that this interaction leads to a dissociative adsorption mode. However, for perfect and defective (001) surfaces, we found the interaction between H<sub>2</sub>O and surfaces to be weak, and that this interaction leads to a molecular adsorption mode. The origin of the surface dependence can be understood by considering the different surface structures and surface sites. As shown in the present study, for the perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) surface, H<sub>2</sub>O adsorbed at the Ge<sub>3c</sub>···O<sub>2c</sub> site can spontaneously dissociate into an H atom and an OH group, and H<sub>2</sub>O adsorbed at the Zn<sub>3c</sub>···O<sub>2c</sub> site can lead to large adsorption energy. However, no dissociation is observed over the perfect Zn<sub>2</sub>GeO<sub>4</sub> (001) surface. We can therefore conclude that the M<sub>3c</sub>···O<sub>2c</sub> structure on the perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) surface plays a critical role in the activation of H<sub>2</sub>O, and the Ge<sub>3c</sub>···O<sub>2c</sub> site is a specific surface site that promotes H<sub>2</sub>O dissociation.

We now explain why the Ge<sub>3c</sub>···O<sub>2c</sub> site on the perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) surface has a superior ability for H<sub>2</sub>O bonding. For this, we consider surface electronic structures with and without adsorbed H<sub>2</sub>O. By combining the results of H<sub>2</sub>O adsorption on perfect and defective Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces, we mapped out the interaction between H<sub>2</sub>O and surface Ge atoms on these surfaces. The results are schematically shown in Figure 8. In Figure 2a, for the perfect (010) surface, the LDOS plot for surface Ge atoms exhibits a specific surface state at the Fermi level, which consists of Ge 4s and 4p states. By comparing Figures 2a and 5a, it can be observed that upon H<sub>2</sub>O dissociation, the surface Ge band has a large downshift toward the valence band. This is because the O<sub>w</sub>H<sub>b</sub> group resulting from the dissociation of H<sub>2</sub>O can attach to surface Ge atoms, forming a Ge–O<sub>w</sub> bond. The formation of this bond significantly decreases the energy of the Ge band. This large decrease in energy can act as an effective H<sub>2</sub>O dissociation agent (see Figure 8a). In Figure 2b, it can be observed that no surface state at the Fermi level exists for the perfect (001) surface, and the band of surface Ge atoms appears as a broad band within the valence band of core Ge atoms. Upon H<sub>2</sub>O adsorption, the variations in the band of surface Ge atoms is much more moderate (compare Figures 2b and 5c); thus, H<sub>2</sub>O is likely to remain in the molecular form (see Figure 8b). The above analysis shows that the most important factor determining the dissociation of H<sub>2</sub>O on Zn<sub>2</sub>GeO<sub>4</sub> surfaces is the position of the surface Ge band relative to the Fermi level. This result agrees well with those obtained in previous studies.



**Figure 8.** Schematic of interaction between H<sub>2</sub>O and surface Ge atoms on (a) perfect (010) surface; (b) perfect (001) surface; (c) defective (010) surface; and (d) defective (001) surface.

Hammer et al.<sup>32</sup> analyzed the structure sensitivity of CO adsorption on different Pt surfaces and found that higher the d band center of surface Pt atoms, the stronger the CO bonding energy. Xu et al.<sup>33</sup> used DFT calculations to study H<sub>2</sub>O adsorption on three metal oxide surfaces and found that the position of the surface O 2p level relative to the top of the valence band is an important factor affecting H<sub>2</sub>O dissociation on the surface. Hadidi et al.<sup>29</sup> analyzed the fundamental properties of pure and hydrogen-covered (010), (101), (100), and (001) surfaces of LaNbO<sub>4</sub> and found that surface states located in the bandgap of the (101) surface contributed toward increasing the reactivity of the surface.

After introduction of V<sub>O</sub>, behavior of defective Zn<sub>2</sub>GeO<sub>4</sub> surfaces becomes similar to that of perfect Zn<sub>2</sub>GeO<sub>4</sub> surfaces, as shown in Figures 2 and 7. For the defective (010) surface with a V<sub>O2c</sub> site, whose surface Ge band has no significant change compared to perfect (010) surface and has a surface state at the Fermi level (Figure 2c), the O<sub>w</sub>H<sub>b</sub> group or H<sub>a</sub> atom resulting from the dissociation of H<sub>2</sub>O can attach to the surface Ge atoms, forming the Ge–O<sub>w</sub> or Ge–H<sub>a</sub> bond, respectively. The formation of these new bonds greatly reduces the energy of the Ge band. This large decrease in energy can drive H<sub>2</sub>O

dissociation (see Figure 8c). For the defective (010) surface with a V<sub>O3c</sub> site, the energy band of surface Ge atoms shows a significant downward shift compared to perfect (010) surface (about 2 eV below E<sub>F</sub>, as shown in Figure 2e), the O<sub>w</sub>H<sub>b</sub> group or H<sub>a</sub> atom resulting from the dissociation of H<sub>2</sub>O can attach to the surface Ge atoms, forming the Ge–O<sub>w</sub> or Ge–H<sub>a</sub> bond, respectively. Therefore, H<sub>2</sub>O can still be dissociated; however, because of the significant reduction in the energy of the surface Ge band, the adsorption energy becomes significantly lower than that in the case of H<sub>2</sub>O adsorption with the V<sub>O2c</sub> site. Moreover, for the defective (001) surface, no interaction exists between H<sub>2</sub>O and surface Ge atoms because no surface state exists (Figure 8d). These results show that the surface state is an important factor affecting H<sub>2</sub>O dissociation on Zn<sub>2</sub>GeO<sub>4</sub> surfaces, and the difference in the location of the surface state relative to the Fermi level has a strong effect on the adsorption energies of H<sub>2</sub>O.

In summary, the results obtained in this study show that H<sub>2</sub>O adsorption on Zn<sub>2</sub>GeO<sub>4</sub> surfaces can be concisely described in terms of molecular orbitals: the coupling between adsorbate levels and the electrons of surface metal (Ge or Zn) or oxygen leads to new adsorbate levels and greatly reduces the energy of the surface metal or oxygen band level. For the perfect and defective (010) surfaces, the energy shift is large because they have a specific surface state at the top of the valence band; this large energy shift can drive H<sub>2</sub>O dissociation. In general, a catalytic reaction consists of adsorption, dissociation, recombination, and desorption processes, and for metal or metal oxide catalysts, the results presented above indicate that the adsorption or dissociation of small molecules on the catalyst surface greatly depends on the position of the surface state. These results can be generalized to other adsorbates and provide a conceptual basis for understanding other surface reactions. Moreover, in combination with the results of our previous work,<sup>13</sup> it was found that the activation of CO<sub>2</sub> and dissociation of H<sub>2</sub>O occur on different surfaces: the dissociation of H<sub>2</sub>O occurs on the major exposed surface (010), while the (001) surface has higher ability for CO<sub>2</sub> activation. These results suggest that for the photocatalytic reduction of CO<sub>2</sub> to CH<sub>4</sub> over Zn<sub>2</sub>GeO<sub>4</sub> nanobelts, a simple synthesis of a photocatalyst with exposed specific facets as major surfaces cannot achieve the highest catalytic efficiency. Thus, in future experimental studies, the morphology of Zn<sub>2</sub>GeO<sub>4</sub> nanobelts should be optimized and nanobelts with an appropriate proportion of exposed (010) and (001) surfaces should be synthesized for achieving the highest catalytic efficiencies.

#### 4. CONCLUSIONS

In this work, we employed DFT to investigate the adsorption of H<sub>2</sub>O on Zn<sub>2</sub>GeO<sub>4</sub> (010) and (001) surfaces, focusing on the influence of surface structure and oxygen defects on adsorption performance. The energies and geometries of adsorption modes were calculated and detailed analyses of the electronic structure with LDOS and charge density were carried out. The main findings are as follows:

- (1) For the perfect Zn<sub>2</sub>GeO<sub>4</sub> (010) surface, a surface state located at the Fermi level exists. However, for the perfect (001) surface, no surface state exists. The presence of V<sub>O3c</sub> can significantly modify the electronic structure of the Zn<sub>2</sub>GeO<sub>4</sub> (010) surface.
- (2) The ability of the perfect (010) surface for H<sub>2</sub>O adsorption is higher than that of the perfect (001)



surface. On the perfect (010) surface, dissociative adsorption is favored, the  $\text{Ge}_{3c}\cdots\text{O}_{2c}$  site strengthens the  $\text{H}_2\text{O}$ -surface adsorption bond, and  $\text{H}_2\text{O}$  can spontaneously dissociate into a H atom and an OH group. In contrast, on the perfect (001) surface, molecular adsorption is favored, and the stable sites for molecular adsorption are the  $\text{O}_{2c}\text{--Ge}_{3c}\text{--O}_{3c}$  and  $\text{O}_{2c}\text{--Zn}_{3c}\text{--O}_{3c}$  sites. We have identified the surface state at the Fermi level to be an important factor affecting  $\text{H}_2\text{O}$  dissociation on the (010) surface.

- (3) The most favorable adsorbate configurations on the defective (010) surface all correspond to dissociative adsorption; however, for  $\text{H}_2\text{O}$  adsorption on the defective (001) surface, only molecular adsorption is observed. The presence of a surface vacancy can modify the energies for the adsorption of  $\text{H}_2\text{O}$  on the perfect surface; moreover, the value of adsorption energies greatly depends on the position of the surface state.
- (4) The dissociation products of  $\text{H}_2\text{O}$  on the perfect (010) surface result in two types of surface hydroxyl radicals. One is the surface-adsorbed hydroxyl radical, which results from the  $\text{O}_w\text{H}_b$  group, in which the  $\text{O}_w$  atom bonds with the unsaturated surface  $\text{Ge}_{3c}$  atom and its  $\text{H}_b$  atom points away from the surface. The other is the stable surface-terminated hydroxyl radical, which results from the  $\text{H}_a$  atom adsorbed on the unsaturated surface  $\text{O}_{2c}$  atom.

In summary, our results demonstrate that the interaction of  $\text{H}_2\text{O}$  with  $\text{Zn}_2\text{GeO}_4$  surfaces is structure sensitive, and that the surface state at the top of the valence band on the (010) surface is the fundamental reason for  $\text{H}_2\text{O}$  dissociation. The information about the adsorbate geometries, catalytic activity of various surface sites, specific electronic structure of surface  $\text{Ge}_{3c}$  atoms, and their relevance to surface structure will be useful for the future design of the  $\text{Zn}_2\text{GeO}_4$  photocatalyst, as well as for the atomistic-level understanding of other structure-sensitive reactions.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Mulliken charges of perfect and defective  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces; potential energy profile of  $\text{H}_2\text{O}$  dissociation on perfect and defective  $\text{Zn}_2\text{GeO}_4$  (010) surfaces; and processes of  $\text{H}_2\text{O}$  molecule adsorption on perfect and defective  $\text{Zn}_2\text{GeO}_4$  (010) and (001) surfaces in the form of a movie clip. This material is available free of charge via the Internet at <http://pubs.acs.org/>.

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### Notes

The authors declare no competing financial interest.

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